



# 材料科学数学基础

2013年9月

北京大学深圳研究生院



# 课程介绍

- **2013秋季学期**
- 任课教师：陶国华  
网页 <http://web.pkusz.edu.cn/taoguohua>
- 材料专业必修课 48学时3学分
- 教材：讲义
- 参考书：Mathematical Methods for Physicists, George B. Arfken and Hans J. Weber, Academic Press.
  - 网络资源：如Wikipedia
- 答疑时间：周三下午4-5点 G栋308
- 评分标准：口试（50%）、期末考试（50%）
- 口试形式：每人20分钟（报告15分钟+提问5分钟），题目可从备选题目中选取，亦可自行选取（需征得任课教师同意后方可实施）。**口试讲稿必须于第十周提交。**



# 理想

为天地立心  
为生民立命  
为往圣继绝学  
为万世开太平



# 为何而读书？

Images here are removed  
due to copy right issues

# 科技与材料



Images here are removed  
due to copy right issues



# 定性与定量

Images here are removed  
due to copy right issues



# Chapter 1: Vector Analysis



# Scalar and vector

- Scalar  
number
- Vector

a number + its “direction”

ex.  $\vec{F} = m\vec{a}$

A vector  $\mathbf{v}$  may be represented by many different ways

Ex. (see right)

$$\mathbf{v} = x\mathbf{e}_1 + y\mathbf{e}_2$$

$$\text{or } \mathbf{v} = \mathbf{f}_1 + \mathbf{f}_2$$

The **basis** (linear independent vectors) defines the direction.



# Properties

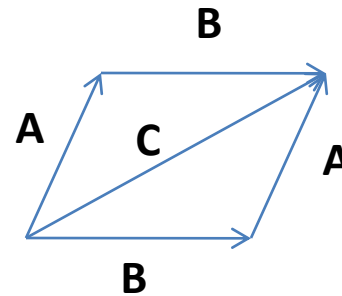
- Addition

triangle law

- Commutative

parallelogram law

$$\mathbf{C} = \mathbf{A} + \mathbf{B} = \mathbf{B} + \mathbf{A}$$



- Associative

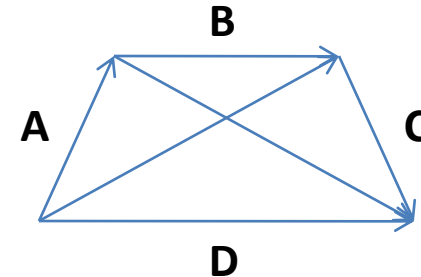
$$\mathbf{D} = \mathbf{A} + \mathbf{B} + \mathbf{C}$$

$$= (\mathbf{A} + \mathbf{B}) + \mathbf{C} = \mathbf{A} + (\mathbf{B} + \mathbf{C})$$

- Distributive

$$a(\mathbf{u} + \mathbf{v}) = a\mathbf{u} + a\mathbf{v}$$

$$(a + b)\mathbf{v} = a\mathbf{v} + b\mathbf{v}$$





# Coordinate

- Direction cosine

$A_{x,y,z}$  components (projections) of  $A$

- Scalar product

$$\vec{A} \cdot \vec{B} = |A||B| \cos \theta_{AB}$$

$$|A|^2 = A_x^2 + A_y^2 + A_z^2$$

An image here is removed due to copy right issues

- Unit vector

$$\hat{i} = \frac{\hat{x}}{|x|}$$

- Orthogonality

$$\vec{A} \cdot \vec{B} = 0$$

Standard basis (orthonormal)  
Normal vector (of a plane)



# Vector space

The collection of vectors forms vector space. And it has the following properties:

1. Vector equality

**1.  $\mathbf{A}=\mathbf{B} \Rightarrow A_i=B_i$**

2. Addition

1. Associativity  **$(\mathbf{A}+\mathbf{B})+\mathbf{C}=\mathbf{A}+(\mathbf{B}+\mathbf{C})$**

2. Commutativity  **$\mathbf{A}+\mathbf{B}=\mathbf{B}+\mathbf{A}$**

3. Distributivity  **$a*(\mathbf{A}+\mathbf{B})=a*\mathbf{A}+a*\mathbf{B}; (a+b)*\mathbf{A}=a*\mathbf{A}+a*\mathbf{B}$**

3. Scalar multiplication

1. Compatibility  **$a*(b*\mathbf{A})=(a*b)*\mathbf{A}$**

2. Identity element  **$1*\mathbf{A}=\mathbf{A}$**

4. Negative of a vector (inverse element)

**$\mathbf{A}+(-\mathbf{A})=0$**

5. Null vector (identity element of addition)

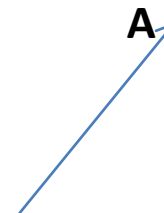
**$\mathbf{A}+0=\mathbf{A}$  for any  $\mathbf{A}$**



# Rotation

Take the 2D space for simplicity,  
for any vector  $\mathbf{A}$ , its new  
representation in a rotated axes is  
given by

$$\begin{bmatrix} A'_x \\ A'_y \end{bmatrix} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \begin{bmatrix} A_x \\ A_y \end{bmatrix}$$



An image here is removed  
due to copy right issues

Rotate the vector instead of the coordinate

$$\begin{aligned} \begin{bmatrix} A_x \\ A_y \end{bmatrix} &= \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}^{-1} \begin{bmatrix} A'_x \\ A'_y \end{bmatrix} \\ &= \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \begin{bmatrix} A'_x \\ A'_y \end{bmatrix} \end{aligned}$$

Orthogonal matrix

$$U = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} = \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix}$$

$$UU^{-1} = I$$

Orthogonal condition:  $\sum_i a_{ij} a_{ik} = \delta_{ik}$



# Rotation

- Scalar is rotational invariant.

- 1
 
$$\begin{aligned}
 A^2 &= A'^2 = \sum_i A_i^2 = \sum_i A_i'^2 \\
 &= \sum_i \left( \sum_j a_{ij} A_j \right) \left( \sum_k a_{ik} A_k \right) = \sum_{j,k} A_j A_k \sum_i a_{ij} a_{ik} \\
 &\Leftrightarrow \sum_i a_{ij} a_{ik} = \delta_{jk}
 \end{aligned}$$

- 2
 
$$\begin{aligned}
 A' \cdot B' &= \sum_i A_i' B_i' \\
 &= \sum_i \left( \sum_j a_{ij} A_j \right) \left( \sum_k a_{ik} B_k \right) = \sum_{j,k} A_j B_k \sum_i a_{ij} a_{ik} \\
 &= \sum_j A_j B_j = A \cdot B
 \end{aligned}$$

- 2'

$$C = A + B$$

$$C \cdot C = (A + B) \cdot (A + B) = A \cdot A + B \cdot B + 2A \cdot B$$



# 3D Rotation

- Rotational matrix

$$U = \begin{pmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{pmatrix}$$

3D rotation described by three steps defined by Euler angles

An image here is removed due to copy right issues

$$\begin{aligned} A(\alpha, \beta, \gamma) &= R_z(\gamma)R_y(\beta)R_z(\alpha) \\ &= \begin{pmatrix} \cos \gamma & \sin \gamma & 0 \\ -\sin \gamma & \cos \gamma & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \cos \beta & 0 & -\sin \beta \\ 0 & 1 & 0 \\ \sin \beta & 0 & \cos \beta \end{pmatrix} \begin{pmatrix} \cos \alpha & \sin \alpha & 0 \\ -\sin \alpha & \cos \alpha & 0 \\ 0 & 0 & 1 \end{pmatrix} \\ &= \begin{pmatrix} \cos \gamma \cos \beta \cos \alpha - \sin \gamma \cos \alpha & \cos \gamma \cos \beta \sin \alpha + \sin \gamma \cos \alpha & -\cos \gamma \sin \beta \\ -\sin \gamma \cos \beta \cos \alpha - \cos \gamma \sin \alpha & -\sin \gamma \cos \beta \sin \alpha + \cos \gamma \cos \alpha & \sin \gamma \sin \beta \\ \sin \beta \cos \alpha & \sin \beta \sin \alpha & \cos \beta \end{pmatrix} \end{aligned}$$

# Vector product

Geometric definition:

$$C = A \times B$$

$$|C| = |A||B|\sin\theta$$

Algebraic definition:

$$C = A \times B$$

$$= \begin{vmatrix} \hat{x} & \hat{y} & \hat{z} \\ A_x & A_y & A_z \\ B_x & B_y & B_z \end{vmatrix}$$

The equivalence of the two:

$$\begin{aligned} C^2 &= (A \times B) \cdot (A \times B) = A^2 B^2 - (A \cdot B)^2 \\ &= A^2 B^2 - A^2 B^2 \cos^2 \theta = A^2 B^2 \sin^2 \theta \end{aligned}$$

Anticommutation:  $A \times B = -B \times A$

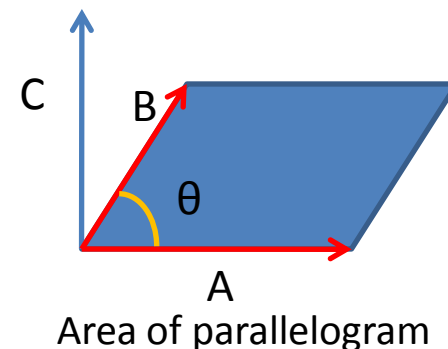
## Triple product:

$$C \cdot (A \times B)$$

$$= \begin{vmatrix} C_x & C_y & C_z \\ A_x & A_y & A_z \\ B_x & B_y & B_z \end{vmatrix}$$

$$A \times (B \times C) = (A \cdot C)B - (A \cdot B)C$$

The volume of the parallelepiped



Ex.

A image here is removed due to copy right issues



# Reciprocal lattice

The inverse of the Bravais lattice consists of the set of wave vectors  $\mathbf{K}$

$$e^{i\mathbf{K}\cdot\mathbf{R}} = 1 \quad \text{for all real space vectors } \mathbf{R}$$

$$b_1 = 2\pi \frac{a_2 \times a_3}{a_1 \cdot (a_2 \times a_3)}$$

$$b_2 = 2\pi \frac{a_3 \times a_1}{a_2 \cdot (a_3 \times a_1)}$$

$$b_3 = 2\pi \frac{a_1 \times a_2}{a_3 \cdot (a_1 \times a_2)}$$

An image here is removed  
due to copy right issues

An image here is removed  
due to copy right issues