



# Chapter 3: Group Theory

1. Definition
2. Mapping
3. Representation
4. Continuous group
5. Lie group and Lie algebra
6. Discrete group



# Reducible representation

## Invariant subgroup:

If  $H \subseteq G, \forall h \in H, \forall g \in G, ghg^{-1} \in H$   
then  $H$  is an invariant subgroup of  $G$

## Reducible representation:

$A$  is a representation of group  $G$  on the representation space  $V$ .

If  $\exists W \subset V, W \neq \emptyset, \forall y \in W, \forall g \in G, A(g)y \in W$ ,  
then  $A$  is a reducible representation.

## Direct sum:

Linear space  $V, V_1, V_2 \subseteq V, V_1 \cap V_2 = \emptyset$

if  $\forall x \in V, \exists y \in V_1, \exists z \in V_2$ ,

$x = y + z$ , or  $V = V_1 + V_2$ , then  $V = V_1 \oplus V_2$ .

## Completely reducible representation:

$A$  is a representation of group  $G$  on the representation space  $V$ .

$V = V_1 \oplus V_2, \forall y \in V_1, \forall z \in V_2, \forall g \in G$

if  $A(g)y \in V_1, A(g)z \in V_2$



# Representation

**Reducible representation:**

$$\begin{aligned} \exists (e_1, e_2, \dots, e_m, e_{m+1}, \dots, e_n) \in V \\ (e_1, e_2, \dots, e_m, 0, \dots, 0) \in W \end{aligned}$$

$$A(g_\alpha) = \begin{pmatrix} B_m(g_\alpha) & D_m(g_\alpha) \\ 0 & C_n(g_\alpha) \end{pmatrix} \quad y = \begin{pmatrix} Y_m \\ 0 \end{pmatrix} \in W, A(g_\alpha)y \in W$$

**Completely reducible representation:**

$$A(g_\alpha) = \begin{pmatrix} B_m(g_\alpha) & 0 \\ 0 & C_n(g_\alpha) \end{pmatrix} = B_m(g_\alpha) \oplus C_n(g_\alpha)$$

**Irreducible representation:**

A is a representation of group  $G$  on the representation space  $V$ .

~~If  $\exists W \subset V, W \neq \emptyset, \forall y \in W, \forall g \in G, A(g)y \in W$ .~~



# Unitary representation

## Inner product space:

Vector space  $V$  on number field  $K$ ,

$\forall x, y \in V, \exists$  mapping  $(x | y) \in K, \forall x, y, z \in V, a \in K$

(1)  $(x + y | z) = (x | z) + (y | z)$ ; (2)  $(x | ay) = a(x | y)$  Inner product  $(x | y)$

(3)  $(x | y) = (y | x)^*$ ; (4)  $(x | x) > 0$  if  $x \neq 0$

## Unitary transformation:

$U$  a linear transformation on inner product space  $V$ ,

$\forall x, y \in V, (Ux | Uy) = (x | y)$ .

## Unitary representation:

$A$  is a representation of group  $G$  on the inner product space  $V$ ,

$A$  is a unitary transformation on  $V$ .

$\forall g, h \in G,$

$A(gh) = A(g)A(h),$

$A(g)^+ = A(g)^{-1} = A(g^{-1})$

if  $\exists(e_1, e_2, \dots, e_m, e_{m+1}, \dots, e_n) \in V$

[orthogonal and normalized]

$$A(g_\alpha) = \begin{pmatrix} B_m(g_\alpha) & 0 \\ 0 & C_n(g_\alpha) \end{pmatrix}$$



# Continuous group

Pauli matrices

$$\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

$$\sigma_i \sigma_j + \sigma_j \sigma_i = 2\delta_{ij} 1_2; \quad \text{anticommutation}$$

$$\sigma_i \sigma_j = i\sigma_k; \quad \text{cyclic permutation}$$

$$\exp(i\sigma_k \theta) = 1_2 \cos \theta + i\sigma_k \sin \theta$$

$$\exp(A) = \sum_{n=0}^{\infty} \frac{1}{n!} A^n; \quad \sin(A) = \sum_{n=0}^{\infty} \frac{(-1)^n}{(2n+1)!} A^{2n+1}; \quad \cos(A) = \sum_{n=0}^{\infty} \frac{(-1)^n}{(2n)!} A^{2n}$$

Rotation group SO(2):

$$R(\theta) = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} = 1_2 \cos \theta + i\sigma_2 \sin \theta = \exp(i\sigma_2 \theta)$$

$$R(\theta_2)R(\theta_1) = \exp(i\sigma_2 \theta_2) \exp(i\sigma_2 \theta_1) = \exp(i\sigma_2 (\theta_1 + \theta_2)) = R(\theta_1 + \theta_2)$$



# Generator

Infinitesimal transformation:

$$R = \exp(i\varepsilon S), \varepsilon \rightarrow 0$$

If  $\det(R)=1$ ,  $\det(R) = \exp(\text{tr}(\ln R)) = \exp(i\varepsilon \text{tr}(S)) = 1$   
 $\Rightarrow \text{tr}(S) = 0$

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To determine the generator of rotation group SO(2):

$$-\left. \frac{idR(\theta)}{d\theta} \right|_{\theta=0} = -i \left. \begin{pmatrix} -\sin \theta & \cos \theta \\ -\cos \theta & -\sin \theta \end{pmatrix} \right|_{\theta=0} = -i \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} = \sigma_2$$

The generators of rotation subgroups of SO(3)=?

$$R_x(\psi) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \psi & \sin \psi \\ 0 & -\sin \psi & \cos \psi \end{pmatrix}; R_y(\theta) = \begin{pmatrix} \cos \theta & 0 & \sin \theta \\ 0 & 1 & 0 \\ -\sin \theta & 0 & \cos \theta \end{pmatrix}; R_z(\varphi) = \begin{pmatrix} \cos \varphi & \sin \varphi & 0 \\ -\sin \varphi & \cos \varphi & 0 \\ 0 & 0 & 1 \end{pmatrix}$$



# Lie group

Definition:

1.  $G$  is a group
2.  $G$  is a  $n$ -dimensional  $C^\infty$  manifold
3. Both product  $\phi$  and inverse  $\tau$  are  $C^\infty$  mapping

$$\phi: G \otimes G \rightarrow G; \quad \tau: G \rightarrow G$$

$$\phi(\alpha, \beta) = \alpha\beta, \quad \tau(\alpha) = \alpha^{-1}, \quad \alpha, \beta \in G$$

Reference:

Serge Lang, Real and functional analysis, 3<sup>rd</sup> edition, Springer-Verlag, New York, 1993.

Rotation group  $SO(2)$ :

$$R_i = \exp(i\varepsilon_i S_i) = 1 + i\varepsilon_i S_i - \frac{1}{2} \varepsilon_i^2 S_i^2 + \dots$$

$$R_i^{-1} = \exp(-i\varepsilon_i S_i) = 1 - i\varepsilon_i S_i - \frac{1}{2} \varepsilon_i^2 S_i^2 + \dots$$

$$\begin{aligned} R_i^{-1} R_j^{-1} R_i R_j &= 1 + \varepsilon_i \varepsilon_j [S_j, S_i] + \dots \\ &= 1 + \varepsilon_i \varepsilon_j \sum_k c_{ji}^k S_k + \dots \end{aligned}$$

Multiplication law of generators:

$$[S_i, S_j] = \sum_k c_{ij}^k S_k$$



# Lie algebra

Definition:

$V$  is  $n$ -dimensional vector space on number field  $R$

$\forall X, Y \in V$ , the Lie product  $[X, Y] \in V$

1. Bilinear

$$[aX + bY, Z] = a[X, Z] + b[Y, Z]$$

$$[Z, aX + bY] = a[Z, X] + b[Z, Y]$$

2. Skew symmetric

$$[X, Y] = -[Y, X]$$

3. Jacobi identity

$$[[X, Y], Z] + [[Y, Z], X] + [[Z, X], Y] = 0$$

**A vector space  $V$  with a bilinear skew-symmetric operation  $V \times V \rightarrow V$ , which satisfies the Jacobi identity.**

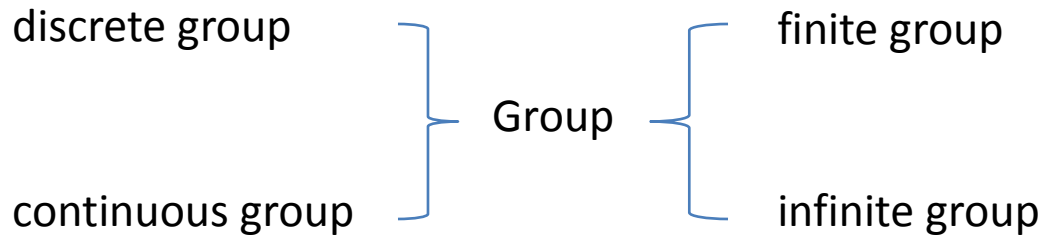
**Example:**

The set of  $n \times n$  matrices becomes a Lie algebra if we define the commutator by  $[A, B] = AB - BA$ .





# Discrete group



## Examples:

- **Point group:** rotation and reflection (the origin is fixed).  
a finite subgroup of  $O(3)$ .
- **Space group:** point group + translation  
32 distinct point groups and 230 space groups.
- $SO(n)$
- Additive group of integers

## Theorem:

If group  $G$  is an  $n$ th-order group generated by rotations around a fixed axis  $k$ , then it is generated by  $C_k(2\pi/n)$ .



# Point group

- **Rotation  $C_n$**  (first type):

N-th order cyclic group  $\{C_n^1, C_n^2, \dots, C_n^{n-1}, E\}$

- **Inverse** (second type):

$\{E, I\}$

- **Rotation inverse:**

$$IC_n \quad IC_{2n} \rightarrow \{C_n^1, C_n^2, \dots, C_n^{n-1}, E, IC_{2n}, IC_{2n}^3, \dots, IC_{2n}^{2n-1}\}$$

$$IC_{2n+1} \rightarrow \{C_{2n+1}^2, C_{2n+1}^4, \dots, C_{2n+1}^{2n}, IC_{2n+1}, IC_{2n+1}^3, \dots, IC_{2n+1}^{2n-1}, I\}$$

- **Reflection:**

$$IC_2 \quad \sigma_k = IC_k(\pi), \sigma_k^2 = E$$

## The first type of point group:

The  $n_i$  order axis of group G  $C_{n_i}$

Nonequivalent rotation n-1

$$\sum_{i=1}^l \frac{n}{2n_i} (n_i - 1) = n - 1; \quad n \geq n_i \geq 2$$

$$\Leftrightarrow \sum_{i=1}^l \left(1 - \frac{1}{n_i}\right) = 2\left(1 - \frac{1}{n}\right)$$



# Point group

$$1. \quad l=2 \quad \frac{2}{n} = \frac{1}{n_1} + \frac{1}{n_2}$$

(1)  $C_n$  group:  $n_1=n_2=n$ ,  $n=2,3,\dots$

$$2. \quad l=3 \quad 1 + \frac{2}{n} = \frac{1}{n_1} + \frac{1}{n_2} + \frac{1}{n_3}$$

(2)  $D_m$  group:  $n_1=n_2=2$ ,  $n_3=m$ ,  $n=2m$ ,  $m=1,2,3,\dots$

(3) T group:  $n_1=2$ ,  $n_2=3$ ,  $n_3=3$ ,  $n=12$

(4) O group:  $n_1=2$ ,  $n_2=3$ ,  $n_3=4$ ,  $n=24$

(5) Y group:  $n_1=2$ ,  $n_2=3$ ,  $n_3=5$ ,  $n=60$