



口试讲稿

评分标准：

- 选题与思路 5分
- 内容充实、完整性 5分
- 数学方法应用（适当、清晰、准确） 10分
- 难度与创新性 5分



Chapter 7: Quantum Mechanics

1. Uncertainty principle
2. Representation
3. Quantum dynamics
4. Approximate methods



Quantum conditions

Commutation relations:

$$[X, Y] \stackrel{?}{=} [Y, X]$$

Classical-quantum mechanical correspondence:

Poisson bracket:

$$\{u, v\} = \sum_r \left(\frac{\partial u}{\partial q_r} \frac{\partial v}{\partial p_r} - \frac{\partial u}{\partial p_r} \frac{\partial v}{\partial q_r} \right)$$

Canonical coordinates
and momenta

1. Bilinear

$$[aX + bY, Z] = a[X, Z] + b[Y, Z]$$

$$[Z, aX + bY] = a[Z, X] + b[Z, Y]$$

2. Multiplication

$$[X, YZ] = [X, Y]Z + Y[X, Z]$$

3. Skew symmetric

$$[X, Y] = -[Y, X] \quad \longrightarrow \quad [X, X] = 0$$

4. Jacobi identity

$$[[X, Y], Z] + [[Y, Z], X] + [[Z, X], Y] = 0$$

$$\longrightarrow [X, c] = 0$$

Example:

$$\{x_i, p_j\} = \delta_{ij}$$

$$\{, \} \rightarrow \frac{1}{i\hbar} [,]$$

$$[x_i, p_j] = i\hbar \delta_{ij} \quad [x_i, x_j] = 0, \quad [p_i, p_j] = 0$$



Lie algebra

Definition:

V is n -dimensional vector space on number field R

$\forall X, Y \in V$, the Lie product $[X, Y] \in V$

1. Bilinear

$$[aX + bY, Z] = a[X, Z] + b[Y, Z]$$

$$[Z, aX + bY] = a[Z, X] + b[Z, Y]$$

2. Skew symmetric

$$[X, Y] = -[Y, X]$$

3. Jacobi identity

$$[[X, Y], Z] + [[Y, Z], X] + [[Z, X], Y] = 0$$

A vector space V with a bilinear skew-symmetric operation $V \times V \rightarrow V$, which satisfies the Jacobi identity.

Example:

The set of $n \times n$ matrices becomes a Lie algebra if we define the commutator by $[A, B] = AB - BA$.



Uncertainty principle

Variance (mean square deviation):

$$\Delta A = A - \langle A \rangle$$

$$\langle (\Delta A)^2 \rangle = \langle (A - \langle A \rangle)^2 \rangle = \langle A^2 \rangle - \langle A \rangle^2$$

Schwarz inequality:

$$\langle \alpha | \alpha \rangle \langle \beta | \beta \rangle \geq |\langle \alpha | \beta \rangle|^2$$

$$|\alpha\rangle = \Delta A | \rangle$$

$$|\beta\rangle = \Delta B | \rangle$$

Uncertainty relation:

$$\langle (\Delta A)^2 \rangle \langle (\Delta B)^2 \rangle \geq \frac{1}{4} |\langle [A, B] \rangle|^2$$

$$\langle \alpha | \beta \rangle = \frac{1}{2} [\langle \alpha | \beta \rangle + \langle \beta | \alpha \rangle + \langle \alpha | \beta \rangle - \langle \beta | \alpha \rangle]$$

$$|\langle \alpha | \beta \rangle|^2 \geq \frac{1}{4} |\langle \alpha | \beta \rangle - \langle \beta | \alpha \rangle|^2 = \frac{1}{4} |\langle AB - BA \rangle|^2$$

The other way:

$$\langle (\Delta A)^2 \rangle \langle (\Delta B)^2 \rangle \geq |\langle \Delta A \Delta B \rangle|^2$$

Example:

$$\Delta A \Delta B = \frac{1}{2} [\Delta A, \Delta B] + \frac{1}{2} \{\Delta A, \Delta B\}$$

$$[x, p] = i\hbar$$

$$\Delta x \Delta p \geq \frac{\hbar}{2}$$

Wave function

Position space

$$x|x'\rangle = x'|x'\rangle$$

$$\langle x''|x'\rangle = \delta(x'' - x')$$

Representation:

$$|\alpha\rangle = \int dx' |x'\rangle \langle x'|\alpha\rangle$$

$$\langle \alpha|\alpha\rangle = \int dx' \langle \alpha|x'\rangle \langle x'|\alpha\rangle = \int dx' |\langle x'|\alpha\rangle|^2$$

Wave function in position space

$$\psi_\alpha(x') = \langle x'|\alpha\rangle$$

$$\langle \beta|A|\alpha\rangle = \int dx' \int dx'' \langle \beta|x'\rangle \langle x'|A|x''\rangle \langle x''|\alpha\rangle$$

$$= \int dx' \int dx'' \psi_\beta^*(x') \langle x'|A|x''\rangle \psi_\alpha(x'')$$

Example:

$$A = f(x)$$

$$\langle \beta|f(x)|\alpha\rangle = \int dx' \psi_\beta^*(x') f(x') \psi_\alpha(x')$$

Eigenfunction expansion:

$$|\alpha\rangle = \sum_{\alpha'} |\alpha'\rangle \langle \alpha'|\alpha\rangle$$

$$\langle x'|\alpha\rangle = \sum_{\alpha'} \langle x'|\alpha'\rangle \langle \alpha'|\alpha\rangle = \sum_{\alpha'} u_{\alpha'}(x') c_{\alpha'}$$



Momentum operator

Translational operator:

$$T(\Delta x' \hat{\mathbf{x}}) = \lim_{N \rightarrow \infty} \left(1 - \frac{ip_x \Delta x'}{N\hbar} \right)^N = \exp\left(-\frac{ip_x \Delta x'}{\hbar} \right)$$

Momentum operator:

$$\begin{aligned} \left(1 - \frac{ip_x \Delta x'}{\hbar} \right) |\alpha\rangle &= \int dx' T(\Delta x') |x'\rangle \langle x' | \alpha\rangle = \int dx' |x' + \Delta x'\rangle \langle x' | \alpha\rangle \\ &= \int dx' |x'\rangle \langle x' - \Delta x' | \alpha\rangle = \int dx' |x'\rangle \left(\langle x' | \alpha\rangle - \Delta x' \frac{\partial}{\partial x'} \langle x' | \alpha\rangle \right) \end{aligned}$$

$$p |\alpha\rangle = \int dx' |x'\rangle \left(-i\hbar \frac{\partial}{\partial x'} \langle x' | \alpha\rangle \right)$$

Properties:

$$\langle x' | p | \alpha\rangle = -i\hbar \frac{\partial}{\partial x'} \langle x' | \alpha\rangle \quad \langle x' | p | x''\rangle = \left(-i\hbar \frac{\partial}{\partial x'} \right) \delta(x' - x'') \quad \text{Matrix element}$$

$$\langle \beta | p | \alpha\rangle = \int dx' \langle \beta | x'\rangle \left(-i\hbar \frac{\partial}{\partial x'} \langle x' | \alpha\rangle \right) = \int dx' \psi_\beta^*(x') \left(-i\hbar \frac{\partial}{\partial x'} \right) \psi_\alpha(x')$$



Representation

Transformation function:

$$\langle x' | p | p' \rangle = -i\hbar \frac{\partial}{\partial x'} \langle x' | p' \rangle = p' \langle x' | p' \rangle$$

$$\Rightarrow \langle x' | p' \rangle = N \exp\left(\frac{ip'x'}{\hbar}\right)$$

$$\langle x' | p' \rangle = \frac{1}{\sqrt{2\pi\hbar}} \exp\left(\frac{ip'x'}{\hbar}\right)$$

$$\langle x' | x'' \rangle = \int dp' \langle x' | p' \rangle \langle p' | x'' \rangle = |N|^2 \int dp' \exp\left[\frac{ip'(x' - x'')}{\hbar}\right]$$

$$= |N|^2 2\pi\hbar \delta(x' - x'') \Rightarrow N = \frac{1}{\sqrt{2\pi\hbar}}$$

Dual space transformation:

$$\langle x' | \alpha \rangle = \int dp' \langle x' | p' \rangle \langle p' | \alpha \rangle$$

$$\langle p' | \alpha \rangle = \int dx' \langle p' | x' \rangle \langle x' | \alpha \rangle$$

$$\psi_\alpha(x') = \frac{1}{\sqrt{2\pi\hbar}} \int dp' \exp\left(\frac{ip'x'}{\hbar}\right) \phi_\alpha(p')$$

$$\phi_\alpha(p') = \frac{1}{\sqrt{2\pi\hbar}} \int dx' \exp\left(-\frac{ip'x'}{\hbar}\right) \psi_\alpha(x')$$

Gaussian wave packet

$$\langle x' | \alpha \rangle = \left(\frac{1}{\pi^{1/4} \sqrt{d}} \right) \exp\left(-\frac{x'^2}{2d^2} + ikx' \right)$$

$$\langle x \rangle = ?, \langle p \rangle = ?, \langle x^2 \rangle = ?, \langle p^2 \rangle = ?$$

Verify uncertainty relation

$$\langle p' | \alpha \rangle = ?$$



Propagator

Time evolution operator:

$$|\alpha, t_0; t\rangle = U(t, t_0)|\alpha, t_0\rangle$$

$$\langle \alpha, t_0; t | \alpha, t_0; t \rangle = \langle \alpha, t_0 | \alpha, t_0 \rangle \Rightarrow U^\dagger(t, t_0)U(t, t_0) = 1$$

$$U(t_2, t_0) = U(t_2, t_1)U(t_1, t_0) \quad (t_2 > t_1 > t_0)$$

Infinitesimal time evolution operator:

$$U(t_0 + dt, t_0) = 1 - \frac{iHdt}{\hbar}$$

Schrodinger equation:

$$U(t + dt, t_0) = U(t + dt, t)U(t, t_0) = \left(1 - \frac{iHdt}{\hbar}\right)U(t, t_0)$$

$$i\hbar \frac{\partial}{\partial t} U(t, t_0) = HU(t, t_0)$$

$$i\hbar \frac{\partial}{\partial t} |\alpha, t_0; t\rangle = H|\alpha, t_0; t\rangle$$

What's the solution? $U(t, t_0) = ?$

I. H time independent

$$U(t, t_0) = \exp\left[\frac{-iH(t-t_0)}{\hbar}\right]$$

II. H time dependent, and commute at different t

$$U(t, t_0) = \exp\left[-\frac{i}{\hbar} \int_{t_0}^t dt' H(t')\right]$$

III. H time dependent, but NOT commute at different t

$$U(t, t_0) = 1 + \sum_{n=1}^{\infty} \left(\frac{-i}{\hbar}\right)^n \int_{t_0}^t dt_1 \int_{t_0}^{t_1} dt_2 \dots \int_{t_0}^{t_{n-1}} dt_n H(t_1)H(t_2)\dots H(t_n)$$



Heisenberg picture

Unitary transformation:

$$|\alpha\rangle \rightarrow U|\alpha\rangle$$

$$\langle\beta|\alpha\rangle \rightarrow \langle\beta|U^\dagger U|\alpha\rangle = \langle\beta|\alpha\rangle$$

$$\langle\beta|X|\alpha\rangle \rightarrow \langle\beta|U^\dagger X U|\alpha\rangle = \langle\beta|U^\dagger X U|\alpha\rangle$$

$$X \rightarrow U^\dagger X U$$

Example:

$$T(dx') = 1 - \frac{ip \cdot dx'}{\hbar}$$

Schrodinger's picture:

$$|\alpha\rangle \rightarrow \left(1 - \frac{ip \cdot dx'}{\hbar}\right) |\alpha\rangle, x \rightarrow x$$

Heisenberg's picture:

$$|\alpha\rangle \rightarrow |\alpha\rangle, x \rightarrow \left(1 + \frac{ip \cdot dx'}{\hbar}\right) x \left(1 - \frac{ip \cdot dx'}{\hbar}\right)$$

The physics:

$$\langle x \rangle \rightarrow \langle x \rangle + \langle dx' \rangle \quad ?$$

Infinitesimal time evolution operator:

$$U(t, t_0 = 0) \equiv U(t, t_0 = 0) = \exp\left[\frac{-iHt}{\hbar}\right]$$

$$A^{(H)}(t) \equiv U^\dagger(t) A^{(S)} U(t)$$

Heisenberg equation:

$$\begin{aligned} \frac{dA^{(H)}(t)}{dt} &= \frac{\partial U^\dagger(t)}{\partial t} A^{(S)} U(t) + U^\dagger(t) A^{(S)} \frac{\partial U(t)}{\partial t} \\ &= -\frac{1}{i\hbar} U^\dagger(t) H U(t) U^\dagger(t) A^{(S)} U(t) + \frac{1}{i\hbar} U^\dagger(t) A^{(S)} U(t) U^\dagger(t) H U(t) = \frac{1}{i\hbar} [A^{(H)}, H^{(H)}] \end{aligned}$$



Equation of motion

Schrodinger:

$$i\hbar \frac{\partial}{\partial t} |\alpha, t_0; t\rangle = H |\alpha, t_0; t\rangle$$

Example:

A free particle of mass m

$$H = \frac{\mathbf{p}^2}{2m} = \frac{p_x^2 + p_y^2 + p_z^2}{2m}$$

$$\frac{dp_i}{dt} = \frac{1}{i\hbar} [p_i, H] = 0$$

$$\frac{dx_i}{dt} = \frac{1}{i\hbar} [x_i, H] = \frac{1}{i\hbar} \frac{1}{2m} i\hbar \frac{\partial}{\partial p_i} \left(\sum_{j=1}^3 p_j^2 \right) = \frac{p_i}{m} = \frac{p_i(0)}{m}$$

Heisenberg equation:

$$\frac{dA^{(H)}(t)}{dt} = \frac{1}{i\hbar} [A^{(H)}, H^{(H)}]$$

$$[x_i, F(\mathbf{p})] = i\hbar \frac{\partial F}{\partial p_i}, \quad [p_i, G(\mathbf{x})] = -i\hbar \frac{\partial G}{\partial x_i}$$

$$[x_i(t), x_i(0)] = ?$$

$$\langle (\Delta x_i)^2 \rangle_t \langle (\Delta x_i)^2 \rangle_{t=0} \geq ?$$

A particle of mass m under a potential $V(\mathbf{x})$

$$H = \frac{\mathbf{p}^2}{2m} + V(\mathbf{x})$$

$$\frac{dp_i}{dt} = \frac{1}{i\hbar} [p_i, H] = -\frac{\partial}{\partial x_i} V(\mathbf{x})$$

$$\frac{dx_i}{dt} = \frac{1}{i\hbar} [x_i, H] = \frac{p_i}{m}$$

$$\frac{d^2 x_i}{dt^2} = \frac{1}{i\hbar} \left[\frac{dx_i}{dt}, H \right] = \frac{1}{i\hbar} \left[\frac{p_i}{m}, H \right] = \frac{1}{m} \frac{dp_i}{dt}$$

$$m \frac{d^2 \mathbf{x}}{dt^2} = \frac{d\mathbf{p}}{dt} = -\nabla V(\mathbf{x})$$

Ehrenfest theorem

$$m \frac{d^2 \langle \mathbf{x} \rangle}{dt^2} = \frac{d \langle \mathbf{p} \rangle}{dt} = -\langle \nabla V(\mathbf{x}) \rangle$$



Examples

Harmonic oscillator:

$$H = \frac{\mathbf{p}^2}{2m} + V(\mathbf{x})$$

$$V(x) = \frac{1}{2} m \omega^2 x^2$$

$$m \frac{d^2 x}{dt^2} = \frac{dp}{dt} = -\nabla V(x) = -m \omega^2 x \quad \longrightarrow$$

$$x(t) = x(0) \cos \omega t + \frac{p(0)}{m \omega} \sin \omega t$$

$$p(t) = -m \omega x(0) \sin \omega t + p(0) \cos \omega t$$

The other way:

$$x(t) = \exp\left(\frac{iHt}{\hbar}\right) x(0) \exp\left(-\frac{iHt}{\hbar}\right)$$

$$= x(0) + \left(\frac{it}{\hbar}\right) [H, x(0)] + \frac{1}{2!} \left(\frac{it}{\hbar}\right)^2 [H, [H, x(0)]] + \dots$$

Baker-Hausdorff lemma

$$[H, x(0)] = \frac{-i\hbar p(0)}{m}, \quad [H, p(0)] = i\hbar m \omega^2 x(0)$$

$$x(t) = \exp\left(\frac{iHt}{\hbar}\right) x(0) \exp\left(-\frac{iHt}{\hbar}\right)$$

$$= x(0) + \frac{p(0)}{m} t - \frac{1}{2!} \omega^2 t^2 x(0) - \frac{1}{3!} \frac{\omega^2 t^3 p(0)}{m} \dots = x(0) \cos \omega t + \frac{p(0)}{m \omega} \sin \omega t$$